

SEC Form 4

FORM 4

**UNITED STATES
SECURITIES AND
EXCHANGE
COMMISSION**
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF
CHANGES IN
BENEFICIAL
OWNERSHIP**

Filed pursuant to Section 16(a) of
the Securities Exchange Act of
1934
or Section 30(h) of the Investment
Company Act of 1940

1. Name and Address of Reporting Person *			2. Issuer Name and Ticker or Trading Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)	
<u>Timko Thomas S</u>			<u>GENERAL ELECTRIC CO [GE]</u>		Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Vice President Other (specify below)	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)			
<u>GENERAL ELECTRIC COMPANY</u>			<u>03/02/2023</u>			
<u>5 NECCO STREET</u>			4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line)	
(Street)					<input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person	
<u>BOSTON</u>	<u>MA</u>	<u>02210</u>				
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/02/2023		M		1,990	A	\$0	29,175	D	
Common Stock	03/02/2023		F		968	D	\$84.56	28,207	D	
Common Stock								1,250	I	By trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Cod e	V		(A) (D)	Date Exercisable	Expiration Date	Title				
Restricted Stock Units	(1)	03/02/2023		M		1,990	03/02/2023	03/02/2023	Common Stock	1,990	\$0	0	D	

Explanation of Responses:

1. Each Restricted Stock Unit represents a contingent right to receive one share of the issuer's common stock.

Remarks:

/s/ Brandon Smith, attorney in fact for Thomas S. Timko

03/06/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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**STATEMENT OF
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BENEFICIAL
OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>Strazik Scott</u>			2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Senior Vice President</u>	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <u>03/02/2023</u>		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person	
GENERAL ELECTRIC COMPANY 5 NECCO STREET			4. If Amendment, Date of Original Filed (Month/Day/Year)			
(Street)	BOSTON	MA 02210				
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/02/2023		M		4,185	A	\$0	22,450	D	
Common Stock	03/02/2023		F		1,997	D	\$84.56	20,453	D	
Common Stock								9,595	I	401(k)
Common Stock								5,247	I	Spouse's 401(k)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	03/02/2023		M		4,185 ⁽²⁾		03/02/2023	03/02/2023	Common Stock	4,185	\$0	0	D	

Explanation of Responses:

- 1. Each Restricted Stock Unit represents a contingent right to receive one share of the issuer's common stock.
- 2. Reflects adjustments made to this award in connection with the spin-off of GE HealthCare Technologies Inc.

Remarks:

/s/ Brandon Smith, attorney in fact for Scott Strazik

03/06/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**UNITED STATES
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**STATEMENT OF
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BENEFICIAL
OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
--	--------------------------------------	---

<u>Holston Michael J</u>			Symbol <u>GENERA</u>	Director <input checked="" type="checkbox"/> Officer (give title below)	10% Owner Other (specify below)
(Last)	(First)	(Middle)	<u>L</u>	<u>Senior Vice President</u>	
<u>GENERAL ELECTRIC COMPANY</u>			<u>ELECTRI</u>		
<u>5 NECCO STREET</u>			<u>C</u>		
			<u>CO [GE]</u>		
(Street) <u>BOSTON</u>			3. Date of Earliest Transaction (Month/Day/Year)		
			<u>MA</u>		
			<u>02210</u>		
(City)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)	
(State)				<input checked="" type="checkbox"/> Form filed by One Reporting Person	
(Zip)				Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/02/2023		M		2,806	A	\$0	55,255	D	
Common Stock	03/02/2023		F		1,331	D	\$84.56	53,924	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V		Date Exercisable	Expiration Date						
Restricted Stock Units	(1)	03/02/2023		M		2,806	03/02/2023	03/02/2023	Common Stock	2,806	\$0	0	D	

Explanation of Responses:

1. Each Restricted Stock Unit represents a contingent right to receive one share of the issuer's common stock.

Remarks:

/s/ Brandon Smith, attorney in fact for Michael J. Holston

03/06/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**UNITED STATES
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OMB APPROVAL	
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**STATEMENT OF
CHANGES IN
BENEFICIAL
OWNERSHIP**

Filed pursuant to Section 16(a) of
the Securities Exchange Act of
1934
or Section 30(h) of the Investment
Company Act of 1940

1. Name and Address of Reporting Person* <u>Stokes Russell</u> (Last) (First) (Middle) <u>GENERAL ELECTRIC COMPANY</u> <u>5 NECCO STREET</u> (Street) <u>BOSTON MA 02210</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Senior Vice President</u>	
	3. Date of Earliest Transaction (Month/Day/Year) <u>03/02/2023</u>		4. If Amendment, Date of Original Filed (Month/Day/Year)
	6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/02/2023		M		4,373	A	\$0	53,278	D	
Common Stock	03/02/2023		F		1,977	D	\$84.56	51,301	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title					
Restricted Stock Units	(1)	03/02/2023		M		4,373 ⁽²⁾	03/02/2023	03/02/2023	Common Stock	4,373	\$0	0	D	

Explanation of Responses:

- 1. Each Restricted Stock Unit represents a contingent right to receive one share of the issuer's common stock.
- 2. Reflects adjustments made to this award in connection with the spin-off of GE HealthCare Technologies Inc.

Remarks:

/s/ Brandon Smith, attorney in fact for Russell Stokes

03/06/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

**UNITED STATES
SECURITIES AND
EXCHANGE**

OMB APPROVAL	
OMB Number:	3235-0287

obligations may continue. See Instruction 1(b).

COMMISSION
Washington, D.C. 20549

Estimated average burden hours per response: 0.5

**STATEMENT OF
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Filed pursuant to Section 16(a) of
the Securities Exchange Act of
1934
or Section 30(h) of the Investment
Company Act of 1940

1. Name and Address of Reporting Person *			2. Issuer Name and Ticker or Trading Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
<u>Dybeck Happe Carolina</u>			<u>GENERAL</u>		Director <input type="checkbox"/> 10% Owner <input type="checkbox"/>		
(Last)	(First)	(Middle)	<u>L</u>		<input checked="" type="checkbox"/> Officer (give title below) Other (specify below)		
<u>GENERAL ELECTRIC COMPANY</u>			<u>ELECTRI</u>		<u>Senior Vice President</u>		
<u>5 NECCO STREET</u>			<u>C</u>				
(Street)			<u>CO [GE]</u>				
<u>BOSTON</u>	<u>MA</u>	<u>02210</u>	3. Date of Earliest Transaction (Month/Day/Year)				
(City)	(State)	(Zip)	<u>03/02/2023</u>				
			4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line)		
					<input checked="" type="checkbox"/> Form filed by One Reporting Person		
					Form filed by More than One Reporting Person <input type="checkbox"/>		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/02/2023		M		5,102	A	\$0	12,059	D	
Common Stock	03/02/2023		F		1,676	D	\$84.56	10,383	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		(A)	(D)	Date Exercisable	Expiration Date				
Restricted Stock Units	(1)	03/02/2023		M		5,102	03/02/2023	03/02/2023	Common Stock	5,102	\$0	0	D	

Explanation of Responses:

1. Each Restricted Stock Unit represents a contingent right to receive one share of the issuer's common stock.

Remarks:

/s/ Brandon Smith, attorney in fact for Carolina Dybeck Happe

03/06/2023

** Signature of Reporting Person

Date

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1. Name and Address of Reporting Person * <u>Cox L Kevin</u>			2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC CO [GE]</u>		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Senior Vice President</u>	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <u>03/02/2023</u>			4. If Amendment, Date of Original Filed (Month/Day/Year)
GENERAL ELECTRIC COMPANY 5 NECCO STREET			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person			
(Street) BOSTON MA 02210			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/02/2023		M		3,061	A	\$0	10,296	D	
Common Stock	03/02/2023		F		1,420	D	\$84.56	8,876	D	
Common Stock								12,431	I	by trust
Common Stock								110	I	by wife's trust
Common Stock								794	I	by descendant's trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		(A)	(D)	Date Exercisable	Expiration Date				
Restricted Stock Units	(1)	03/02/2023		M		3,061	03/02/2023	03/02/2023	Common Stock	3,061	\$0	0	D	

Explanation of Responses:

1. Each Restricted Stock Unit represents a contingent right to receive one share of the issuer's common stock.

Remarks:

/s/ Brandon Smith, attorney in fact for L. Kevin Cox

03/06/2023

** Signature of Reporting Person

Date

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