

CORPORATE ACTION NOTICE

London Market

Notice No. CA/2013/359/P

Issue Date:

19 November 2013

Effective Date:

19 November 2013

Contracts:

Individual Equity Options (201)

CG1, CG3, CG7

Company:

Alcatel-Lucent SA

Reference:[CA/2013/339/P](#) and [CA/2013/354/P](#)**ISIN:**

FR0000130007

Equalisation:

The equalisation payment for each series is contained in the attachment to this notice. The amount shown for CG1 in the attachment will be credited to the holders of Long call and put positions and debited from the holders of short call and put positions. The amount shown for CG3 in the attachment will be credited to the holders of short call and put positions and debited from the holders of long call and put. Credits and debits will be processed by LCH Clearnet SA.

This Notice is issued pursuant to the Corporate Actions Policy for the Euronext Derivatives Markets which is available on the NYSE Euronext website at globalderivatives.nyx.com/regulation/nyse-liffe. It requires the immediate attention of Members' staff involved with the trading and settlement of equity products on these markets. Members should ensure that clients are made aware of the arrangements detailed in this Notice.

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Attachment to Corporate Action Notice No. CA/2013/359/P

Alcatel-Lucent SA Corporate Action: Equalisation payment

Individual Equity Options Contract: **CG1, CG3**

| Class | Maturity | Equalisation Payment | Type | Old strike price | Adjusted strike price | Type | Equalisation Payment |
|-------|----------|----------------------|------|------------------|-----------------------|------|----------------------|
| CG1 | 201312 | 0.96 | C | 0.40 | 0.38 | P | 0.00 |
| CG1 | 201312 | 0.82 | C | 0.80 | 0.76 | P | 0.00 |
| CG1 | 201312 | 0.75 | C | 1.00 | 0.95 | P | 0.00 |
| CG1 | 201312 | 0.67 | C | 1.20 | 1.14 | P | 0.00 |
| CG1 | 201312 | 0.60 | C | 1.40 | 1.33 | P | 0.00 |
| CG1 | 201312 | 0.53 | C | 1.60 | 1.52 | P | 0.00 |
| CG1 | 201312 | 0.46 | C | 1.80 | 1.71 | P | 0.00 |
| CG1 | 201312 | 0.39 | C | 2.00 | 1.90 | P | 0.00 |
| CG1 | 201312 | 0.31 | C | 2.20 | 2.09 | P | 0.01 |
| CG1 | 201312 | 0.28 | C | 2.30 | 2.18 | P | 0.01 |
| CG1 | 201312 | 0.25 | C | 2.40 | 2.28 | P | 0.01 |
| CG1 | 201312 | 0.21 | C | 2.50 | 2.37 | P | 0.01 |
| CG1 | 201312 | 0.18 | C | 2.60 | 2.47 | P | 0.02 |
| CG1 | 201312 | 0.16 | C | 2.70 | 2.56 | P | 0.03 |
| CG1 | 201312 | 0.13 | C | 2.80 | 2.66 | P | 0.04 |
| CG1 | 201312 | 0.10 | C | 2.90 | 2.75 | P | 0.05 |
| CG1 | 201312 | 0.08 | C | 3.00 | 2.85 | P | 0.07 |
| CG1 | 201312 | 0.07 | C | 3.10 | 2.94 | P | 0.09 |
| CG1 | 201312 | 0.05 | C | 3.20 | 3.04 | P | 0.11 |
| CG1 | 201312 | 0.04 | C | 3.30 | 3.13 | P | 0.13 |
| CG1 | 201312 | 0.03 | C | 3.40 | 3.23 | P | 0.16 |
| CG1 | 201312 | 0.02 | C | 3.60 | 3.42 | P | 0.22 |
| CG1 | 201312 | 0.01 | C | 3.80 | 3.61 | P | 0.29 |
| CG1 | 201312 | 0.01 | C | 4.00 | 3.80 | P | 0.35 |
| CG1 | 201312 | 0.00 | C | 4.40 | 4.18 | P | 0.50 |
| CG1 | 201312 | 0.00 | C | 4.80 | 4.55 | P | 0.64 |
| CG1 | 201312 | 0.00 | C | 6.00 | 5.69 | P | 1.07 |
| CG1 | 201401 | 0.46 | C | 1.80 | 1.71 | P | 0.00 |
| CG1 | 201401 | 0.38 | C | 2.00 | 1.90 | P | 0.00 |
| CG1 | 201401 | 0.31 | C | 2.20 | 2.09 | P | 0.01 |
| CG1 | 201401 | 0.28 | C | 2.30 | 2.18 | P | 0.01 |
| CG1 | 201401 | 0.25 | C | 2.40 | 2.28 | P | 0.02 |
| CG1 | 201401 | 0.22 | C | 2.50 | 2.37 | P | 0.02 |
| CG1 | 201401 | 0.19 | C | 2.60 | 2.47 | P | 0.03 |
| CG1 | 201401 | 0.17 | C | 2.70 | 2.56 | P | 0.04 |
| CG1 | 201401 | 0.14 | C | 2.80 | 2.66 | P | 0.06 |
| CG1 | 201401 | 0.12 | C | 2.90 | 2.75 | P | 0.07 |
| CG1 | 201401 | 0.10 | C | 3.00 | 2.85 | P | 0.09 |
| CG1 | 201401 | 0.09 | C | 3.10 | 2.94 | P | 0.11 |
| CG1 | 201401 | 0.07 | C | 3.20 | 3.04 | P | 0.13 |
| CG1 | 201401 | 0.06 | C | 3.30 | 3.13 | P | 0.16 |

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|-----|--------|------|---|------|------|---|------|
| CG1 | 201401 | 0.05 | C | 3.40 | 3.23 | P | 0.18 |
| CG1 | 201401 | 0.03 | C | 3.60 | 3.42 | P | 0.24 |
| CG1 | 201401 | 0.02 | C | 3.80 | 3.61 | P | 0.30 |
| CG1 | 201403 | 0.96 | C | 0.40 | 0.38 | P | 0.00 |
| CG1 | 201403 | 0.82 | C | 0.80 | 0.76 | P | 0.00 |
| CG1 | 201403 | 0.75 | C | 1.00 | 0.95 | P | 0.00 |
| CG1 | 201403 | 0.67 | C | 1.20 | 1.14 | P | 0.00 |
| CG1 | 201403 | 0.60 | C | 1.40 | 1.33 | P | 0.00 |
| CG1 | 201403 | 0.53 | C | 1.60 | 1.52 | P | 0.00 |
| CG1 | 201403 | 0.46 | C | 1.80 | 1.71 | P | 0.01 |
| CG1 | 201403 | 0.39 | C | 2.00 | 1.90 | P | 0.02 |
| CG1 | 201403 | 0.34 | C | 2.20 | 2.09 | P | 0.03 |
| CG1 | 201403 | 0.28 | C | 2.40 | 2.28 | P | 0.05 |
| CG1 | 201403 | 0.24 | C | 2.60 | 2.47 | P | 0.08 |
| CG1 | 201403 | 0.19 | C | 2.80 | 2.66 | P | 0.10 |
| CG1 | 201403 | 0.16 | C | 3.00 | 2.85 | P | 0.14 |
| CG1 | 201403 | 0.13 | C | 3.20 | 3.04 | P | 0.18 |
| CG1 | 201403 | 0.10 | C | 3.40 | 3.23 | P | 0.23 |
| CG1 | 201403 | 0.07 | C | 3.60 | 3.42 | P | 0.28 |
| CG1 | 201403 | 0.04 | C | 4.00 | 3.80 | P | 0.39 |
| CG1 | 201403 | 0.03 | C | 4.40 | 4.18 | P | 0.52 |
| CG1 | 201406 | 0.96 | C | 0.40 | 0.38 | P | 0.00 |
| CG1 | 201406 | 0.82 | C | 0.80 | 0.76 | P | 0.00 |
| CG1 | 201406 | 0.67 | C | 1.20 | 1.14 | P | 0.00 |
| CG1 | 201406 | 0.60 | C | 1.40 | 1.33 | P | 0.01 |
| CG1 | 201406 | 0.54 | C | 1.60 | 1.52 | P | 0.01 |
| CG1 | 201406 | 0.47 | C | 1.80 | 1.71 | P | 0.03 |
| CG1 | 201406 | 0.42 | C | 2.00 | 1.90 | P | 0.04 |
| CG1 | 201406 | 0.36 | C | 2.20 | 2.09 | P | 0.06 |
| CG1 | 201406 | 0.31 | C | 2.40 | 2.28 | P | 0.08 |
| CG1 | 201406 | 0.27 | C | 2.60 | 2.47 | P | 0.11 |
| CG1 | 201406 | 0.24 | C | 2.80 | 2.66 | P | 0.15 |
| CG1 | 201406 | 0.20 | C | 3.00 | 2.85 | P | 0.19 |
| CG1 | 201406 | 0.17 | C | 3.20 | 3.04 | P | 0.23 |
| CG1 | 201406 | 0.14 | C | 3.40 | 3.23 | P | 0.27 |
| CG1 | 201406 | 0.12 | C | 3.60 | 3.42 | P | 0.32 |
| CG1 | 201406 | 0.09 | C | 4.00 | 3.80 | P | 0.43 |
| CG1 | 201406 | 0.06 | C | 4.40 | 4.18 | P | 0.55 |
| CG1 | 201406 | 0.04 | C | 4.80 | 4.55 | P | 0.68 |
| CG1 | 201406 | 0.01 | C | 6.00 | 5.69 | P | 1.09 |
| CG1 | 201409 | 0.68 | C | 1.20 | 1.14 | P | 0.01 |
| CG1 | 201409 | 0.55 | C | 1.60 | 1.52 | P | 0.03 |
| CG1 | 201409 | 0.44 | C | 2.00 | 1.90 | P | 0.06 |
| CG1 | 201409 | 0.39 | C | 2.20 | 2.09 | P | 0.08 |
| CG1 | 201409 | 0.34 | C | 2.40 | 2.28 | P | 0.11 |
| CG1 | 201409 | 0.30 | C | 2.60 | 2.47 | P | 0.14 |
| CG1 | 201409 | 0.26 | C | 2.80 | 2.66 | P | 0.17 |
| CG1 | 201409 | 0.24 | C | 3.00 | 2.85 | P | 0.22 |
| CG1 | 201409 | 0.20 | C | 3.20 | 3.04 | P | 0.26 |
| CG1 | 201409 | 0.17 | C | 3.40 | 3.23 | P | 0.30 |
| CG1 | 201409 | 0.15 | C | 3.60 | 3.42 | P | 0.35 |
| CG1 | 201409 | 0.11 | C | 4.00 | 3.80 | P | 0.46 |
| CG1 | 201409 | 0.08 | C | 4.40 | 4.18 | P | 0.58 |

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| CG1 | 201412 | 0.82 | C | 0.80 | 0.76 | P | 0.00 |
| CG1 | 201412 | 0.68 | C | 1.20 | 1.14 | P | 0.01 |
| CG1 | 201412 | 0.56 | C | 1.60 | 1.52 | P | 0.04 |
| CG1 | 201412 | 0.46 | C | 2.00 | 1.90 | P | 0.08 |
| CG1 | 201412 | 0.37 | C | 2.40 | 2.28 | P | 0.14 |
| CG1 | 201412 | 0.29 | C | 2.80 | 2.66 | P | 0.21 |
| CG1 | 201412 | 0.23 | C | 3.20 | 3.04 | P | 0.29 |
| CG1 | 201412 | 0.14 | C | 4.00 | 3.80 | P | 0.49 |
| CG1 | 201412 | 0.08 | C | 4.80 | 4.55 | P | 0.72 |
| CG1 | 201412 | 0.04 | C | 6.00 | 5.69 | P | 1.11 |
| CG1 | 201412 | 0.02 | C | 8.00 | 7.59 | P | 1.81 |
| CG1 | 201506 | 0.82 | C | 0.80 | 0.76 | P | 0.01 |
| CG1 | 201506 | 0.68 | C | 1.20 | 1.14 | P | 0.02 |
| CG1 | 201506 | 0.57 | C | 1.60 | 1.52 | P | 0.05 |
| CG1 | 201506 | 0.47 | C | 2.00 | 1.90 | P | 0.10 |
| CG1 | 201506 | 0.39 | C | 2.40 | 2.28 | P | 0.16 |
| CG1 | 201506 | 0.32 | C | 2.80 | 2.66 | P | 0.24 |
| CG1 | 201506 | 0.27 | C | 3.20 | 3.04 | P | 0.33 |
| CG1 | 201506 | 0.19 | C | 4.00 | 3.80 | P | 0.54 |
| CG1 | 201506 | 0.13 | C | 4.80 | 4.55 | P | 0.77 |
| CG1 | 201512 | 0.82 | C | 0.80 | 0.76 | P | 0.01 |
| CG1 | 201512 | 0.70 | C | 1.20 | 1.14 | P | 0.04 |
| CG1 | 201512 | 0.59 | C | 1.60 | 1.52 | P | 0.07 |
| CG1 | 201512 | 0.50 | C | 2.00 | 1.90 | P | 0.12 |
| CG1 | 201512 | 0.42 | C | 2.40 | 2.28 | P | 0.18 |
| CG1 | 201512 | 0.35 | C | 2.80 | 2.66 | P | 0.27 |
| CG1 | 201512 | 0.30 | C | 3.20 | 3.04 | P | 0.36 |
| CG1 | 201512 | 0.22 | C | 4.00 | 3.80 | P | 0.57 |
| CG1 | 201512 | 0.18 | C | 4.80 | 4.55 | P | 0.81 |
| CG1 | 201512 | 0.13 | C | 6.00 | 5.69 | P | 1.19 |
| CG1 | 201512 | 0.09 | C | 8.00 | 7.59 | P | 1.87 |
| CG1 | 201606 | 0.82 | C | 0.80 | 0.76 | P | 0.01 |
| CG1 | 201606 | 0.70 | C | 1.20 | 1.14 | P | 0.04 |
| CG1 | 201606 | 0.60 | C | 1.60 | 1.52 | P | 0.08 |
| CG1 | 201606 | 0.51 | C | 2.00 | 1.90 | P | 0.13 |
| CG1 | 201606 | 0.43 | C | 2.40 | 2.28 | P | 0.20 |
| CG1 | 201606 | 0.37 | C | 2.80 | 2.66 | P | 0.28 |
| CG1 | 201606 | 0.33 | C | 3.20 | 3.04 | P | 0.38 |
| CG1 | 201606 | 0.26 | C | 4.00 | 3.80 | P | 0.60 |
| CG1 | 201606 | 0.21 | C | 4.80 | 4.55 | P | 0.84 |
| CG1 | 201612 | 0.83 | C | 0.80 | 0.76 | P | 0.02 |
| CG1 | 201612 | 0.61 | C | 1.60 | 1.52 | P | 0.09 |
| CG1 | 201612 | 0.45 | C | 2.40 | 2.28 | P | 0.22 |
| CG1 | 201612 | 0.35 | C | 3.20 | 3.04 | P | 0.40 |
| CG1 | 201612 | 0.29 | C | 4.00 | 3.80 | P | 0.62 |
| CG1 | 201612 | 0.25 | C | 4.80 | 4.55 | P | 0.87 |

| Class | Maturity | Equalisation Payment | Type | Old strike price | Adjusted strike price | Type | Equalisation Payment |
|-------|----------|----------------------|------|------------------|-----------------------|------|----------------------|
|-------|----------|----------------------|------|------------------|-----------------------|------|----------------------|

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|-----|--------|------|---|------|------|---|------|
| CG3 | 201403 | 0.98 | C | 0.80 | 0.76 | P | 0.00 |
| CG3 | 201403 | 0.89 | C | 1.00 | 0.95 | P | 0.00 |
| CG3 | 201403 | 0.81 | C | 1.20 | 1.14 | P | 0.00 |
| CG3 | 201403 | 0.72 | C | 1.40 | 1.33 | P | 0.00 |
| CG3 | 201403 | 0.64 | C | 1.60 | 1.52 | P | 0.00 |
| CG3 | 201403 | 0.55 | C | 1.80 | 1.71 | P | 0.01 |
| CG3 | 201403 | 0.48 | C | 2.00 | 1.90 | P | 0.02 |
| CG3 | 201403 | 0.40 | C | 2.20 | 2.09 | P | 0.04 |
| CG3 | 201403 | 0.34 | C | 2.40 | 2.28 | P | 0.06 |
| CG3 | 201403 | 0.28 | C | 2.60 | 2.47 | P | 0.09 |
| CG3 | 201403 | 0.23 | C | 2.80 | 2.66 | P | 0.13 |
| CG3 | 201403 | 0.19 | C | 3.00 | 2.85 | P | 0.17 |
| CG3 | 201403 | 0.15 | C | 3.20 | 3.04 | P | 0.22 |
| CG3 | 201403 | 0.12 | C | 3.40 | 3.23 | P | 0.28 |
| CG3 | 201403 | 0.09 | C | 3.60 | 3.42 | P | 0.34 |
| CG3 | 201403 | 0.05 | C | 4.00 | 3.80 | P | 0.48 |
| CG3 | 201403 | 0.03 | C | 4.40 | 4.18 | P | 0.63 |
| | | | | | | | |
| CG3 | 201409 | 0.99 | C | 0.80 | 0.76 | P | 0.00 |
| CG3 | 201409 | 0.82 | C | 1.20 | 1.14 | P | 0.01 |
| CG3 | 201409 | 0.67 | C | 1.60 | 1.52 | P | 0.04 |
| CG3 | 201409 | 0.53 | C | 2.00 | 1.90 | P | 0.07 |
| CG3 | 201409 | 0.47 | C | 2.20 | 2.09 | P | 0.10 |
| CG3 | 201409 | 0.42 | C | 2.40 | 2.28 | P | 0.14 |
| CG3 | 201409 | 0.37 | C | 2.60 | 2.47 | P | 0.18 |
| CG3 | 201409 | 0.32 | C | 2.80 | 2.66 | P | 0.21 |
| CG3 | 201409 | 0.29 | C | 3.00 | 2.85 | P | 0.27 |
| CG3 | 201409 | 0.24 | C | 3.20 | 3.04 | P | 0.31 |
| CG3 | 201409 | 0.21 | C | 3.40 | 3.23 | P | 0.37 |
| CG3 | 201409 | 0.18 | C | 3.60 | 3.42 | P | 0.43 |
| CG3 | 201409 | 0.14 | C | 4.00 | 3.80 | P | 0.56 |
| CG3 | 201409 | 0.10 | C | 4.40 | 4.18 | P | 0.70 |
| | | | | | | | |
| CG3 | 201503 | 0.98 | C | 0.80 | 0.76 | P | 0.01 |
| CG3 | 201503 | 0.82 | C | 1.20 | 1.14 | P | 0.02 |
| CG3 | 201503 | 0.68 | C | 1.60 | 1.52 | P | 0.06 |
| CG3 | 201503 | 0.56 | C | 2.00 | 1.90 | P | 0.11 |
| CG3 | 201503 | 0.46 | C | 2.40 | 2.28 | P | 0.19 |
| CG3 | 201503 | 0.39 | C | 2.80 | 2.66 | P | 0.28 |
| CG3 | 201503 | 0.30 | C | 3.20 | 3.04 | P | 0.38 |
| CG3 | 201503 | 0.19 | C | 4.00 | 3.80 | P | 0.62 |
| CG3 | 201503 | 0.12 | C | 4.80 | 4.55 | P | 0.89 |